

IFW

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: October 27, 2004 Name: Tadashi Horie Reg. No. 40,437 Signature: Tadashi Horie

BRINKS
HOFFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Naoki NARUSE et al.

Appln. No.: 10/815,187

Filed: March 31, 2004

For: INFORMATION PROCESSING
DEVICE AND PROGRAM

Attorney Docket No: 9683/185

Examiner: To Be Assigned

Art Unit: 2661

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Supplemental Information Disclosure Statement, Copy of Search Report, Form PTO-1449, 2 Cited References (B2 and B3)
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Tadashi Horie
Tadashi Horie (Reg. No. 40,437)

October 27, 2004
Date



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October 27, 2004

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or
Registered Representative

Tadashi Horie

Signature

October 27, 2004

Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

U.S. DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME
2002/0184520 A1	12/05/2002	Bush et al.
DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
WO 01/67785 A2	09/13/2001	WIPO
WO 02/01319 A2	01/03/2002	WIPO

For the Examiner's convenience, Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2)(as modified by the Commissioner's Notices dated July 11, 2003, and


September 21, 2004). References B1-B3 were cited in an European Search Report issued in a counterpart EPO application. A copy of the Search Report is attached to this Statement. As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

October 27, 2004
Date



Tadashi Horie (Reg. No. 40,437)



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abteilung

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des brevets

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ALLEMAGNE

EINGEGANGEN

09. Aug. 2004

HOFFMANN • EITLE, MÜNCHEN
PATENTANWÄLTE RECHTSANWÄLTE

Datum/Date

09.08.04

Zeichen/Ref./Réf.

102 918 a/scho

Anmeldung Nr./Application No./Demande n°/Patent Nr./Patent No./Brevet n°.

04007649.9-2212-

Anmelder/Applicant/Demandeur/Patentinhaber/Propriétaire/Titulaire

NTT DoCoMo, Inc.

COMMUNICATION

The European Patent Office herewith transmits as an enclosure the European search report for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

☒ Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

The following specifications given by the applicant have been approved by the Search Division:

☒ abstract

☒ title

☐ The abstract was modified by the Search Division and the definitive text is attached to this communication.

The following figure will be published together with the abstract:

6

REFUND OF THE SEARCH FEE

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.





DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
A	WO 02/01319 A (MOTOROLA INC) 3 January 2002 (2002-01-03) * abstract * * page 3, line 23 - line 27 * * page 8, line 17 - line 24 * * page 9, line 9 - line 18 * -----	1-4	G06F1/00
A	US 2002/184520 A1 (NG ANTHONY P C ET AL) 5 December 2002 (2002-12-05) * paragraph '0012! * * paragraph '0027! * * paragraph '0029! * * paragraph '0041! - paragraph '0043! * -----	1-4	
A	WO 01/67785 A (ELLIS MARTIN JOHN ; CATALDO MARK (GB); SHI RONG (GB); MOTOROLA INC (US) 13 September 2001 (2001-09-13) * figure 2 * * page 16, line 23 - line 27 * * page 17, line 4 - line 8 * * page 17, line 10 - line 14 * * page 17, line 18; claim 19 * -----	1-4	
			TECHNICAL FIELDS SEARCHED (Int.Cl.7)
			G06F
The present search report has been drawn up for all claims			
Place of search Munich		Date of completion of the search 2 August 2004	Examiner Chabot, P
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			

**ANNEX TO THE EUROPEAN SEARCH REPORT
ON EUROPEAN PATENT APPLICATION NO.**

EP 04 00 7649

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

02-08-2004

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 0201319	A	03-01-2002	AU	7300001 A	08-01-2002
			EP	1421772 A2	26-05-2004
			WO	0201319 A2	03-01-2002
US 2002184520	A1	05-12-2002	EP	1430374 A2	23-06-2004
			WO	02097594 A2	05-12-2002
WO 0167785	A	13-09-2001	GB	2359908 A	05-09-2001
			AU	4418901 A	17-09-2001
			WO	0167785 A2	13-09-2001
			EP	1273182 A2	08-01-2003
			JP	2004500661 T	08-01-2004



FORM PTO-1449	SERIAL NO. 10/815,187	CASE NO. 9683/185
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	FILING DATE March 31, 2004	GROUP ART UNIT 2661
(use several sheets if necessary)	APPLICANT(S): Naoki NARUSE et al.	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1	2002/0184520 A1	12/05/2002	Bush et al.		
	B					
	B					
	B					
	B					
	B					

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	B2	WO 01/67785 A2	09/13/2001	WIPO		
	B3	WO 02/01319 A2	01/03/2002	WIPO		
	B					
	B					
	B					

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
	B	
	B	
	B	
	B	
	B	
	B	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.